



2187 IFW

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	09/751,684-Conf. #9139
		Filing Date	December 29, 2000
		First Named Inventor	Steven M. Blumenau
		Art Unit	2187
		Examiner Name	M. K. N. McLean
Total Number of Pages in This Submission	3	Attorney Docket Number	E0295.70139US00

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Interview Summary (2 pages)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Return Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	WOLF, GREENFIELD & SACKS, P.C.		
Signature			
Printed name	Richard F. Giunta		
Date	November 8, 2006	Reg. No.	36,149

Certificate of Mailing Under 37 CFR 1.8(a)	
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: 11/8/06	Signature:  (Richard F. Giunta)



DOCKET NO.: E0295.70139US01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

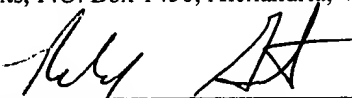
Applicant: Steven M. Blumenau, et. al.  
Serial No.: 09/751,684  
Confirmation No.: 9139  
Filed: December 29, 2000  
For: METHOD AND APPARATUS FOR MANAGING ACCESS  
TO STORAGE DEVICES IN A STORAGE SYSTEM WITH  
ACCESS CONTROL

Examiner: KIMBERLY N. MCLEAN MAYO  
Art Unit: 2187

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 8 day of November, 2006.

  
Richard F. Giunta, Reg. No. 36,149

---

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INTERVIEW SUMMARY**

Sir:

On July 24, 2006, the Examiner initiated a telephone call to the undersigned. The substance of that conversation is summarized herein.

The Examiner indicated that she believed that the application was in close to allowable condition, but she expressed a concern about whether there was a redundancy in claim 42 of the type that she raised in the Advisory Action mailed April 5, 2006 in connection with claim 14. The undersigned pointed out that claim 14 resulted from the re-writing of a dependant claim in independent form, and that the language about requests being directed to a logical volume were meant to limit the logical device referenced earlier in the claim to a specific type of logical device, i.e., a logical volume. The Examiner appreciated this explanation, and indicated that no amendment was needed to claim 42. She also indicated that she believed that claim 14 could be clarified by returning it to the state in which it was prior to the amendment made on April 28, 2006. The Examiner requested authorization to amend the claim in that matter by Examiner's Amendment, and authorization was granted.

Serial No.: 09/751,684  
Conf. No.: 9139

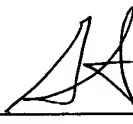

- 2 -

Art Unit: 2187

If there are any questions concerning the foregoing, please contact the undersigned at the number listed below.

Respectfully submitted,  
*Steven M. Blumenau et al., Applicant(s)*

By:



Richard F. Giunta, Reg. No. 36,149  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: E0295.70139US01  
Date: November 8, 2006  
**xNDDx**